

**UCPB SAVINGS BANK INC.**  
**CORPORATE GOVERNANCE UNIT**  
**2024 ACCOMPLISHMENT REPORT**

**Annex A**

<b>CORPORATE GOVERNANCE COMMITTEE (CGC)</b>			
<b>Reporting Date</b>	<b>NOTED</b>	<b>APPROVED</b>	<b>ENDORSED</b>
<b>JANUARY 23, 2024</b>	<ol style="list-style-type: none"> <li>Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> </li> <li>Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>Reports on Crimes &amp; Losses</li> <li>Regulatory Issuances for the month of December 2023</li> <li>Updates on Monitoring of Outstanding Compliance Testing Exceptions as of December 31, 2023</li> <li>AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>KYC and Transaction Alerts for the month of December 2023</li> <li>AML Training for the month of December 2023</li> <li>Base60 Watchlist Updates</li> </ol>	<ol style="list-style-type: none"> <li>Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on December 13, 2023.</li> <li>Result of Risk Assessment for Head Office and Branches for the Year 2023.</li> <li>Compliance Testing and Monitoring Unit (CTMU) Annual Testing Plan for 2024</li> <li>Citizen's Charter Evaluation Report</li> </ol>	<ol style="list-style-type: none"> <li>Authorized Signatory and Authorized User/s in the LANDBANK WeAccess</li> <li>Amendment on Committee Membership</li> <li>Approval on the Grant of Authority of the Board of Directors to its Representative for the Issuance of Sworn Statement of the Implementation of the SBLAF Template</li> <li>Proposed UCPBS Reengineering Manual</li> <li>UCPBS' 4<sup>th</sup> Quarter Performance Report for LBP</li> <li>UCPBS' 4<sup>th</sup> Quarter Monitoring Report for GCG</li> </ol>
<b>FEBRUARY 21, 2024</b>	<ol style="list-style-type: none"> <li>Related Party Transactions</li> <li>Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>Reports on Crimes &amp; Losses</li> <li>Regulatory Issuances for the month of January 2024</li> <li>Quarterly Training Updates</li> <li>AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>KYC and Transaction Alerts for the month of January 2024</li> </ol>	<ol style="list-style-type: none"> <li>Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on January 23, 2024.</li> <li>Revised Risk Assessment Criteria for Branches/ Branch Lites/ Branch Lending Office.</li> <li>Unauthorized Withdrawals</li> </ol>	<ol style="list-style-type: none"> <li>Proposed Corporate Governance Scorecard Report (CGSR) – A</li> <li>Proposed Amendments on UCPBS Citizen's Charter</li> <li>Amendments to UCPBS Freedom and Information Manual</li> <li>Submission of Zero Backlog Report</li> </ol>

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	<ul style="list-style-type: none"> <li>8. AMLA Training for the month of January 2024</li> <li>9. Base60 Watchlist Updates</li> </ul>		
<b>FEBRUARY 8, 2024 – Special Meeting</b>		<ul style="list-style-type: none"> <li>1. Unauthorized Withdrawals</li> </ul>	
<b>MARCH 14, 2024</b>	<ul style="list-style-type: none"> <li>1. Related Party Transactions</li> <li>2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>3. Reports on Crimes &amp; Losses</li> <li>4. Regulatory Issuances for the month of February 2024</li> <li>5. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>6. KYC and Transaction Alerts for the month of February 2024</li> <li>7. AMLA Training for the month of February 2024</li> <li>8. Base60 Watchlist Updates</li> </ul>	<ul style="list-style-type: none"> <li>1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular and Special Meeting held on February 21 &amp; 8, 2024</li> </ul>	<ul style="list-style-type: none"> <li>1. Validation Result of UCPB-SB's Motion for Reconsideration for its 2022 Performance Scorecard</li> <li>2. Changes to BAC Members</li> <li>3. Proposed Amendments on UCPBS Citizen's Charter</li> <li>4. Official E-Mail Addresses for Electronic Correspondences from BSP – Financial Supervision Sector (FSS)</li> <li>5. Year 2024 Recast Financial Plans and Updated Bank Scorecard</li> </ul>
<b>APRIL 23, 2024</b>	<ul style="list-style-type: none"> <li>1. Related Party Transactions</li> <li>2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>3. Reports on Crimes &amp; Losses</li> <li>4. Regulatory Issuances for the month of March 2024</li> <li>5. Compliance Testing Plan for 2024 – 2025</li> <li>6. Quarterly Report on Outstanding Compliance Testing Exceptions (1<sup>st</sup> Quarter 2024)</li> <li>7. Quarterly Training Updates</li> <li>8. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>9. KYC and Transaction Alerts for the month of March 2024</li> </ul>	<ul style="list-style-type: none"> <li>1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on March 14, 2024.</li> <li>2. Revised Risk Assessment Criteria for Branches/ Branch Lites/ Branch Lending Office.</li> <li>3. Proposed Policy on Archiving Unresolved Issues and Testing Exceptions.</li> </ul>	<ul style="list-style-type: none"> <li>1. UCPBS' 1<sup>st</sup> Quarter Performance Report for LBP</li> <li>2. UCPBS' 1<sup>st</sup> Quarter Monitoring Report for GCG</li> <li>3. Authorized Representative and Signatories in the PhilHealth Collection and Remittance Agreement (CRA)</li> <li>4. Bank's Security Manual</li> <li>5. Proposed Amendments of Compliance Charter</li> <li>6. Proposed Amendments on Corporate Governance Manual</li> <li>7. Revision on MTPP – Updating Information and Documents</li> <li>8. UCPBS Annual Awards</li> </ul>

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	10. AMLA Training for the month of March 2024 11. Base60 Watchlist Updates 12. CY2022 Directors Performance Review		
<b>MAY 20, 2024</b>	1. Related Party Transactions 2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> 3. Reports on Crimes & Losses 4. Regulatory Issuances for the month of April 2024 5. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> 6. KYC and Transaction Alerts for the month of April 2024 7. AMLA Training for the month of April 2024 8. Base60 Watchlist Updates 9. Major Reports Submitted by Bank Compliance Division (BCD)	1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on April 23, 2024. 2. Revised Risk Assessment Criteria for Branches/ Branch Lites/ Branch Lending Office.	1. Bank's Security Manual 2. UCPB Savings Bank's Special Awards Performance Year 2023
<b>JUNE 20, 2024</b>	1. Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> 2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> 3. Reports on Crimes & Losses 4. Regulatory Issuances for the month of May 2024 5. 2023 Accomplishment Report 6. 2024 Business Plan 7. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> 8. KYC and Transaction Alerts for the month of May 2024 9. AMLA Training for the month of May 2024	1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on May 20, 2024. 2. Result of Risk Assessment Conducted for Branches/Branch Lites/ Lending Office 3. Compliance Testing Plan for the Year 2024-2026	1. Updated Composition of the UCPB Savings GAD Focal Point System 2. Proposed Amendments to the Bank Compliance Manual 3. UCPBS Sanctions Guidelines 4. Amendments on Committee Membership 5. Amendment to the Bank Designated Officers – GCG Integrated Corporate Reporting System 6. Official E-Mail Addresses for Electronic Correspondences from BSP-SES 7. Appointment of the New Bank's Data Protection Officer 8. Amendment to the Bank's Official Recipient email addresses and cellular phone numbers to SEC 9. Other position/ functions held by the COO

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	10. Base60 Watchlist Updates		10. Reply to BSP Report of Examination 11. Execution of Deed of Conveyance for the Transfer of Ownership of the Property to Previous Lessor
<b>JULY 30, 2024</b>	<ol style="list-style-type: none"> <li>Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> </li> <li>Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>Reports on Crimes &amp; Losses</li> <li>Regulatory Issuances for the month of June 2024</li> <li>Compliance Testing Results: <ul style="list-style-type: none"> <li>➤ Banaue Branch</li> <li>➤ Rizal Avenue Branch</li> <li>➤ Kalayaan Branch</li> </ul> </li> <li>Quarterly Report on Outstanding Compliance Testing Exceptions</li> <li>Quarterly Training Updates</li> <li>AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>KYC and Transaction Alerts for the month of June 2024</li> <li>AML Training for the month of June 2024</li> <li>Base60 Watchlist Updates</li> </ol>	<ol style="list-style-type: none"> <li>Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on June 20, 2024.</li> </ol>	<ol style="list-style-type: none"> <li>Proposed Amendments to Asset Disposal Committee (ADCOM) Charter</li> <li>Proposed Amendments to Asset and Liabilities Committee (ALCO) Charter</li> <li>Proposed Amendments to the Credit Committee (CRECOM) Charter</li> <li>Proposed Amendments to the Remedial and Collection Committee (RACOM) Charter</li> <li>Submission of 8888 Focal Team</li> <li>revision of MTPP</li> </ol>
<b>AUGUST 20, 2024</b>	<ol style="list-style-type: none"> <li>Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> </li> <li>Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>Reports on Crimes &amp; Losses</li> <li>Regulatory Issuances for the month of July 2024</li> </ol>	<ol style="list-style-type: none"> <li>Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on July 30, 2024.</li> </ol>	<ol style="list-style-type: none"> <li>Amendments to Bank Designated Officers – GCG Integrated Corporate Reporting System</li> <li>Official E-Mail Addresses for Electronic Correspondences from BSP - Supervision and Examination Secor (SES)</li> </ol>

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	<ol style="list-style-type: none"> <li>5. Compliance Testing Results: <ul style="list-style-type: none"> <li>➤ San Jose Del Monte Branch</li> </ul> </li> <li>6. Re-presentation of the CTMU Interim Compliance Testing Plan for Branches and Testing Strategies</li> <li>7. Monitoring of Status Implementation of 2<sup>nd</sup> Half of 2024 Compliance Testing Plan /Accomplishment Report</li> <li>8. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> <li>9. KYC and Transaction Alerts for the month of July 2024</li> <li>10. AMLA Training for the month of July 2024</li> <li>11. Base60 Watchlist Updates</li> <li>12. BSP Assessment/ Remarks on UCPBS Reply on the Report of Examination (ROE)</li> <li>13. Approving the Privatization of United Coconut Planters Bank – Savings Bank (UCPB-SB)</li> <li>14. Opening of ICRS – Directors Performance Review System (DPRS) for CY 2023 Directors Performance Review (DPR)</li> </ol>		<ol style="list-style-type: none"> <li>3. Official E-Mail Addresses for Electronic Correspondences from BSP – Financial Supervision Secor (FSS)</li> <li>4. Designation of New Authorized Filer with the SEC’s Electronic Registry of Application for Market Participants (eRAMP)</li> </ol>
<b>SEPTEMBER 17, 2024</b>	<ol style="list-style-type: none"> <li>1. Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> </li> <li>2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> <li>➤ Litigation</li> </ul> </li> <li>3. Reports on Crimes &amp; Losses</li> <li>4. Regulatory Issuances for the month of August 2024</li> <li>5. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> </ul> </li> </ol>	<ol style="list-style-type: none"> <li>1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on August 20, 2024.</li> </ol>	<ol style="list-style-type: none"> <li>1. Proposed Amendment to the Bank Compliance Manual (in Compliance with the BSP ROE)</li> <li>2. Proposed Corporate Governance Scorecard Report (CGSR) – B</li> <li>3. Publication of the Board of Director’s Resume in UCPBS’ Website</li> <li>4. Proposed Self-Assessment Scorecard Forms of the Bank for CY 2023</li> <li>5. Approval of the Bank’s Compliance Monitoring Tool</li> <li>6. UCPBS Annual Report 2023</li> </ol>

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	<ul style="list-style-type: none"> <li>➤ Suspicious Transaction Reports (STR)</li> <li>6. KYC and Transaction Alerts for the month of August 2024</li> <li>7. AMLA Training for the month of August 2024</li> <li>8. Base60 Watchlist Updates</li> <li>9. Special Investigation</li> <li>10. Update on the Status of ROE</li> <li>11. Director's Performance Review (DPR) for CY 2023</li> <li>12. Status Updates on the 2024 Compliance Testing Plan</li> <li>13. Status on the Training of the New Directors</li> </ul>		<ul style="list-style-type: none"> <li>7. Proposed amendments to the Small Business Loan Program</li> <li>8. Proposed UCPBS Executive Committee Charter</li> <li>9. Guidelines and Policy on Quality Review of Commercial and SBL Loan Accounts</li> </ul>
<b>OCTOBER 21, 2024</b>	<ul style="list-style-type: none"> <li>1. Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> </li> <li>2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> </li> <li>3. Reports on Crimes &amp; Losses</li> <li>4. Regulatory Issuances for the month of September 2024</li> <li>5. BSP ROE Updates</li> <li>6. Compliance Testing Results; <ul style="list-style-type: none"> <li>➤ Tanza Branch</li> <li>➤ Lamitan Branch &amp; Zamboanga Lending Office</li> <li>➤ Bulua Branch &amp; Malaybalay Lending Office</li> </ul> </li> <li>7. Results of Risk Assessment Conducted for Head Office Units (including IT Units)</li> <li>8. BCD CTMU Updates on the Implementation of Compliance Testing Plan for 2024</li> <li>9. Quarterly Training Updates</li> <li>10. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on September 17, 2024.</li> </ul>	<ul style="list-style-type: none"> <li>1. Authorized Representative/s to the BSP – PHILPASS Smart Card</li> <li>2. Online Loan Application (OLAP) – Salary Loans</li> <li>3. Appointment of the New Bank's Data Protection Officer</li> <li>4. Revision on Institutional Risk Assessment (IRA) Methodology</li> <li>5. UCPBS' 3<sup>rd</sup> Quarter Performance Report for LBP</li> <li>6. UCPBS' 3<sup>rd</sup> Quarter Monitoring Report for GCG</li> </ul>

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	11. KYC and Transaction Alerts for the month of September 2024 12. AMLA Trainings for the month of September 2024 13. Base60 Watchlist Updates		
<b>NOVEMBER 19, 2024</b>	1. Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> <li>➤ Past Due</li> </ul> 2. Reputational Risk Report <ul style="list-style-type: none"> <li>➤ Human Capital Turnover</li> <li>➤ Complaints</li> </ul> 3. Reports on Crimes & Losses 4. Regulatory Issuances for the month of October 2024 5. BSP ROE Updates 6. Compliance Testing Results; <ul style="list-style-type: none"> <li>➤ Sablayan Branch</li> <li>➤ Rizal Nueva Ecija Branch Lite</li> <li>➤ Lingayen Branch</li> <li>➤ GOA Branch</li> </ul> 7. BCD-CTMU Updates on the Implementation of Compliance Testing Plan for 2024 8. Quarterly Report on Outstanding Compliance Testing Exceptions 9. AML Reports <ul style="list-style-type: none"> <li>➤ Covered Transaction Reports (CTR)</li> <li>➤ Suspicious Transaction Reports (STR)</li> </ul> 10. KYC and Transaction Alerts for the month of October 2024 11. AMLA Trainings for the month of October 2024 12. Base60 Watchlist Updates 13. Policy Guidelines for Auto & Retail Estate Loans Approval	1. Approval of the Corporate Governance Committee (CGC) Minutes of the Regular Meeting held on October 21, 2024.	1. Cure Period 2. Proposed Amendments to the Guidelines on Loans and Other Credit Accommodations to DOSRI 3. 2023 Institutional Risk Assessment 4. Reconfiguration of SAMD Table of Organization (T.O)
<b>DECEMBER 13, 2024</b>	1. Related Party Transactions <ul style="list-style-type: none"> <li>➤ Aggregate Exposures</li> </ul>	1. Approval of the Corporate Governance Committee (CGC)	1. Additional Maintaining ADB for Landbank DPU/CDS Servicing

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	<ul style="list-style-type: none"><li>➤ Past Due</li><li>2. Reputational Risk Report<ul style="list-style-type: none"><li>➤ Human Capital Turnover</li><li>➤ Complaints</li></ul></li><li>3. Reports on Crimes &amp; Losses</li><li>4. Regulatory Issuances for the month of November 2024</li><li>5. BSP ROE Updates</li><li>6. Compliance Testing Results;<ul style="list-style-type: none"><li>➤ Borongan Branch</li><li>➤ Escalante Branch</li><li>➤ Numancia Branch</li><li>➤ Pili Branch</li></ul></li><li>7. BCD-CTMU Updates on the Implementation of Compliance Testing Plan for 2024</li><li>8. AML Reports<ul style="list-style-type: none"><li>➤ Covered Transaction Reports (CTR)</li><li>➤ Suspicious Transaction Reports (STR)</li></ul></li><li>9. KYC and Transaction Alerts for the month of November 2024</li><li>10. AMLA Trainings for the month of November 2024</li><li>11. Base60 Watchlist Updates</li></ul>	<p>Minutes of the Regular Meeting held on November 19, 2024.</p> <ul style="list-style-type: none"><li>2. Compliance Testing Plan for 2025</li></ul>	<ul style="list-style-type: none"><li>2. UCPBS' 5-Year Business Plan</li><li>3. Guidelines on Validation of Utilization of Loan Proceeds</li><li>4. Guidelines on Post-Validation of Salary Loan Proceeds</li></ul>
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